

Zacks Investment Management

5-Point Service Commitment

Our Commitment

Our commitment to you and your employees is clear and direct - we will provide you with unsurpassed service, management and training. At Zacks we understand that the success of your plan not only requires active and superior investment strategies, but also an ongoing commitment to service and education for all employees of your firm. Below we have outlined this commitment to you.

1: Effective Plan Design

Engineering the optimal plan design is a critical first step. Our seasoned professionals will guide you through this decision making process to ensure the most appropriate plan is created.

2: Efficient Plan Implementation

We will work to provide you with a smooth and stress-free retirement plan conversion. Together with BCG, we have the resources to guide you through the entire process and ensure we keep to our stated timetables.

3: On-time Statements

We seek to provide all of your participants clear and complete account statements in a timely manner. Furthermore we provide 24/7 access for all plan participants to web based systems for up-to-date performance information.

4: Continuing Education

Once a plan is implemented, your dedicated consultant will be available for several onsite training work shops for all of your employees. These sessions are aimed at helping your employees gain a better understanding of the importance of saving for retirement, portfolio options, and help them determine how much money they should be allocating towards their plan. Furthermore, if there are particular topics or issues you would like us to review with your employees, we can create a custom presentation for you.

5: Key Personnel Access

All employees will enjoy unsurpassed customer support and guidance. Furthermore, for you and other key employees there will be direct access to your Zacks dedicated investment consultant. His knowledge and experience will be at your disposal and will help you ensure that key employees appreciate this plan.

For more information,

please contact your investment consultant or call our 401(k) help desk at 800-245-2934.